

CAU 2161

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of | :SUHY ET AL. | Group Art Unit | : 2161 |
|----------------------|--------------|----------------|--------|

Serial No. :09/441,289 Examiner : HEWITT, ET AL.

Filed :11/16/99 Paper No. :10

For :APPARATUS AND METHOD FOR TRACKING AND MANAGING

PHYSICAL ASSETS

Commissioner for Patents Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

| 2. | Appli | cant is |
|----|-------|-----------------------------|
| | [] | a small entity. A statement |
| | | [] is attached. |
| | | was already filed. |
| | [X] | other than a small entity |

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

X deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

transmitted by facsimile to the Patent and Trademark Office.

Signature

[]

Date: April 18, 2001

(Amendment Transmittal-page 1 of 4)

EXTENSION OF TERM

"Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a NOTE: Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

See 37 C.F.R. 1.645 for extensions of time in interference proceedings, and 37 C.F.R. 1.550(c) for extensions of time in NOTE: reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

Applicant petitions for an extension of time under 37 C.F.R. 1.136 (a) [X] (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

| [X] [] [] | Extension (months) one month two months three months four months | Fee for other than small entity \$ 110.00 \$ 380.00 \$ 870.00 \$ 1,360.00 | \$ 55.00 \$ 190.00 \$ 435.00 | Fee for small entity \$ 55.00 \$ 190.00 - 4 \$ 435.00 \$ 680.00 | | |
|-------------|--|---|------------------------------------|---|------|--|
| | | Fee: § | 5110.00 | 0 FIAIL | CEIN | |
| dditiona | l extension of time is req | uired, please consider this a | petition therefor. | 2001 11LR | | |

If an add

(check and complete the next item, if applicable)

| [] | An extension for \$ requested. | | een secured. The fee paid therefor of e due for the total months of extension | n now |
|----|--------------------------------|-----------------------------|---|-------|
| | • | n fee due with this request | \$ 00.00 | |

OR

Applicant believes that no extension of term is required. However, this conditional (b) [] petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

| | (Col.1) | | (Col. 2) | (Col. 3) S | SMALL ENT | ITY | S | OTHER T | |
|----------|--|-----------|---------------------------------------|------------------|---------------------|---------------|----|---------------------|-----------------|
| | Claims Remainin After Amendme | ng | Highest No. Previously Paid For | Present Extra | Rate | Addit. Fee | OR | Rate | Addit. Fee |
| Total | 23 | Minus | 20 | = | x \$9 = | \$0 | 3 | x \$18 = | \$54.00 |
| Indep. | 4 | Minus | 3 | _ | x \$39 = | \$0 | 1 | x \$78 = | \$78.00 |
| [] Fire | st Presentat | ion of Mu | ltiple Depende | nt Claim | + \$130 = | : \$0 | | + \$260 = | \$00.00 |
| | | | | | Total Addit. Fee | \$ <u>0</u> | OR | Total Addit. Fee | <u>\$132.00</u> |

- * If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
- ** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".
- *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

 The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING:

5.

"After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

| (c) | [] | No additional fee for claims is required. |
|-------------------|-------|---|
| | | OR |
| (d) | [X] | Total additional fee for claims required \$132.00 |
| | | FEE PAYMENT |
| [] [X] [X] | Charg | hed is a check in the sum of \$ ge Account No. 18-0013 the sum of \$ 242.00. blicate of this transmittal is attached. |

RECEIVED

APR 30 2001

TC 2100 MAILROOM

FEE DEFICIENCY

- NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).
- **6.** [X] If any additional extension and/or fee is required, charge Account No. 18-0013.

AND/OR

[X] If any additional fee for claims is required, charge Account No. 18-0013.

Date: April 18, 2001

Reg. No. 36,018

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SIGNATURE OF PRACTITIONER

Michael B. Stewart

(type or print name of practitioner)

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TC 2100 HAU ROOM